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2 2 PCL 2001  
PATENT

Customer No. 22,852

Attorney Docket No. 08513.7028-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE,

In re Application of:

Heeks et al.

Group Art Unit: 2853

Serial No.: 09/719,955

Examiner: unknown

Filed: March 15, 2001

For: **BACKLIT DISPLAYS**

Assistant Commissioner for Patents  
Washington, DC 20231

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## TRANSMITTAL LETTER

Enclosed are the following:

☒ Request for Corrected Filing Receipt and Corrected Notification of Acceptance.

No fee is required. Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Dated: October 18, 2001

By: Therese A. Hendricks  
Therese A. Hendricks  
Reg. No. 30,389

LAW OFFICES

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FARABOW, GARRETT,  
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1300 I STREET, N. W.  
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202-408-4000



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Assistant Commissioner  
for Patents  
Washington, DC 20231

OFFICE OF INITIAL PATENT EXAMINATION

**REQUEST FOR CORRECTED FILING RECEIPT  
AND CORRECTED NOTIFICATION OF ACCEPTANCE**

We are forwarding herewith copies of the Filing Receipt and the Notification of Acceptance for the above-identified patent application. As indicated in ink on the attached copies, the international filing date of the PCT application is incorrect on both documents - the correct date is 16 June 1999.

It is respectfully requested that a corrected Filing Receipt and a corrected Notification of Acceptance be issued as soon as possible.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 18, 2001

By:

Therese A. Hendricks  
Reg. No. 30,389

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT. CLAIMS	IND. CLAIMS
09/719,955	03/15/2001	2853	1312	C1043/7028	2	10	10

Therese A Hendricks  
Wolf Greenfield & Sacks  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210-2211



CONFIRMATION NO. 5248  
FILING RECEIPT  
\*OC00000005964315\*

Date Mailed: 04/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Stephen Karl Heeks, Cottenham, UNITED KINGDOM;  
Julian Charles Carter, Chesterton, UNITED KINGDOM;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/GB99/01918 ~~06/16/1996~~

06/16/1999

## Foreign Applications

UNITED KINGDOM 9813326.7 06/19/1998

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

Blacklit displays

## Preliminary Class

347

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APR 18 2001

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Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 04/12/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST-NAMED APPLICANT	ATTY. DOCKET NO.
09/719955	HEEKS S	C1043/7028
INTERNATIONAL APPLICATION NO.		

THERESE A HENDRICKS  
WOLF GREENFIELD & SACKS  
600 ATLANTIC AVENUE  
BOSTON, MA 02210

PCT/GB99/01918

I.A. FILING DATE	PRIORITY DATE
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~~16 JUN 99~~  
16 JUN 99

19 JUN 98

DATE MAILED: 30 MAR 2001

NOTIFICATION OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371  
AND 37 CFR 1.494 OR 1.495

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as ☐ a Designated Office (37 CFR 1.494), ☒ an Elected Office (37 CFR 1.495), has determined that the above-identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

15 MAR 01	15 MAR 01
DATE OF RECEIPT OF	DATE OF RECEIPT OF ALL
35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above-identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. ☒ A request for immediate examination under 35 U.S.C. 371(f) was received on 18 DEC 00 and the application will be examined in turn.

4. The following items have been received:

- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application.
- ☐ Translation of the international application into English.
- ☒ Oath or Declaration of inventor(s).
- ☐ Copy of Article 19 amendments. ☐ Translation of Article 19 amendments into English.
- The Article 19 amendments ☐ have ☐ not been entered.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Copy of the Annexes to the International Preliminary Examination Report (IPER).
- ☐ Translation of Annexes to the IPER into English.
- The Annexes ☐ have ☐ not been entered.
- ☒ Preliminary amendment(s) filed 18 DEC 00 and \_\_\_\_\_
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_
- ☒ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_
- ☐ Indication of Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☐ Other:

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Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.55).

Charitta A. Burt, Patent Legal

Telephone: 703-305-3734